#### UNITED STATES PATENT APPLICATION

**FOR** 

Proximity Based Lossless Compression of Client Information in a Network Device

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Proximity Based Lossless Compression of Client Information in a Network Device

[0001] This application claims the benefit of Provisional U.S. Patent application no. 60/502,765 filed on September 12, 2003 and entitled, "Proximity Based Lossless Table Compression for Request Re-directing Servers," which is incorporated herein by reference.

#### FIELD OF THE INVENTION

[0002] At least one embodiment of the present invention pertains to content delivery networks (CDNs), and more particularly, to proximity based lossless compression of client information in a network device.

#### **BACKGROUND**

[0003] A CDN is a geographically distributed network for delivering network content. Network content can include video, still images, text, sound, software, and numerous other types of electronic data. CDNs can include request routing servers and request routing agents positioned at various locations around the world. The request routing servers and agents work together to provide network content to clients.

[0004] Request routing servers and agents are typically used to increase the speed with which clients receive requested network content. In certain network configurations, when a client requests network content, a request routing server provides the Internet Protocol (IP) address of the nearest request routing agent that is able to serve the content. Using the IP address, the client requests the content from the nearby request routing agent.

[0005] CDNs may rely on network proximity measurements (network proximity is a measure of temporal proximity or latency) to route content requests to the request

routing agents in closest proximity to the requesting clients. Network proximity is often actively measured. When proximity is actively measured, a request routing agent typically sends probe messages to a client agent. In response to receiving the probe messages, the client agent typically sends reply messages back to the request routing agent. Proximity is measured from the delay between when the request routing agent sends a probe message and when the request routing agent receives a reply to the probe message. Based on this message exchange, a request routing agent can estimate its proximity to the client agent. Request routing agents report these proximity estimates to the request routing servers, so that the request routing servers can determine which request routing agents are nearest to certain clients.

[0006] Request redirecting/routing servers, such as in the Global Request Manager (GRM) made by Network Appliance, Inc. in Sunnyvale, California, can redirect a client request based on proximity and policy. In order to redirect client requests correctly, client IP address and proximity information is stored in one or more tables in a request routing server and/or agent. This information is used by the server to determine the best surrogate (network cache) to provide content to a particular client. If the number of clients served by a CDN is large, the tables that maintain the client IP address and proximity information can become very large and thus unmanageable and may give rise to resource (memory) contention issues. Table size is particularly problematic in the request routing server if the system is configured for application-level ("level 7") redirection, where the server maintains information for all clients that it serves.

[0007] Conventional systems work around this problem by reducing the number of client IP addresses stored by storing the prefix information and subnet mask. That

approach helps constrain the size of the table, however, it suffers a drawback that reduces its effectiveness in re-directing clients accurately.

[0008] Assume, for example, that a conventional redirection system has two IP addresses: 202.54.1.18 and 202.54.1.30. The conventional system might treat all IP addresses with the same 28-bit prefix as a single entry. Thus, both of the above mentioned IP addresses end up being stored as a single entry in the redirection system table. Let us further assume that the proximity of the two IP addresses was different with respect to the surrogates due to subnetting. Because the conventional redirection system would store both IP addresses as a single prefix, it would be unable to redirect one of them correctly in the most optimal way, reducing the effectiveness of the request redirection system. A drawback conventional redirection systems suffer, therefore, is that they are unable to detect the subnetting information dynamically.

# **SUMMARY OF THE INVENTION**

[0009] The present invention includes a method and a related apparatus, the method comprising storing in a data structure information representing a plurality of clients on a network, and dynamically compressing the data structure based on network proximity information relating to the clients.

[0010] Other aspects of the invention will be apparent from the accompanying figures and from the detailed description which follows.

# BRIEF DESCRIPTION OF THE DRAWINGS

- [0011] One or more embodiments of the present invention are illustrated by way of example and not limitation in the figures of the accompanying drawings, in which like references indicate similar elements and in which:
- [0012] Figure 1 shows an example of a CDN environment;
- [0013] Figure 2 illustrates a process representing an example how the CDN of Figure 1 may be employed with application-level redirection;
- [0014] Figure 3 illustrates the overall process performed by an agent with respect to maintaining client state tables, according to certain embodiments of the invention;
- [0015] Figure 4 illustrates the overall process performed by the server with respect to maintaining client state tables, according to certain embodiments of the invention; and [0016] Figure 5 is a high-level block diagram of a processing system such as an agent or server.

#### DETAILED DESCRIPTION

A method and apparatus for lossless compression of client information in a network device are described. Note that in this description, references to "one embodiment" or "an embodiment" mean that the feature being referred to is included in at least one embodiment of the present invention. Further, separate references to "one embodiment" or "an embodiment" in this description do not necessarily refer to the same embodiment; however, such embodiments are also not mutually exclusive unless so stated, and except as will be readily apparent to those skilled in the art from the description. For example, a feature, structure, act, etc. described in one embodiment may also be included in other embodiments. Thus, the present invention can include a variety of combinations and/or integrations of the embodiments described herein. In a CDN which supports both domain name service (DNS) and application [0018]level redirection, client state tables can become very large. The solution introduced herein solves this problem by dynamically detecting subnet information based on network proximity, and then compressing client state tables based on the subnet information. The compression is lossless, in that all of the original information can be recovered. The solution allows table size to be reduced, without sacrificing correct redirection of clients and without creating resource contention issues. Figure 1 shows an example of a CDN environment in which the invention can [0019]be implemented. The environment includes a number of clients 1, a request routing server 2, a number of request routing agents 3, and a DNS 4, connected to each other by a network 5. The network 5 may be or include, for example, the Internet, a wide area

network (WAN), a corporate intranet, a local area network (LAN), or some combination

thereof. Thus, the network 5 may actually include multiple networks. In certain embodiments, the request routing server (hereinafter simply "server") 2 handles redirection of client content requests (such as in application-level redirection), and each request routing agent (hereinafter simply "agent") 3 does periodic proximity measurements ("probes") to the clients on behalf of the server and reports the probe results to the server. The agents 3 also function as network content caches and content delivery agents (also called "surrogates"), in that they deliver cached content to particular clients 1 in response to redirected client requests.

The process shown in Figure 2 represents an example how the CDN of Figure 1 may be employed with application-level redirection. Note that such a CDN may alternatively (or additionally) be employed with DNS-level redirection. Initially, at block 201 a client 1 sends to the DNS 4 a content request, which includes a domain name. At block 202 the DNS 4 does a lookup based on the domain name to identify the IP address of the server 2. The DNS 4 then returns the IP address of the server 2 to the requesting client 1 at block 203. At block 204 the client 1 then sends an application level (e.g., HTTP) request to the server 2. The server 2 uses its stored client state tables at block 205, including the proximity information, to identify the best agent 3 to deliver the requested content to the client 1 (i.e., the "closest" agent 3 to the requesting client 1 in terms of network proximity). Once the best agent 3 is identified, the server 2 sends the client 1 a redirect to that agent 3 at block 206. The client then requests the content from the designated agent at block 207, which delivers the content to the client 1 from the agent's local cache at block 208.

[0021] Client state tables are maintained in the server 2 and in each agent 3 (a little different in each of them), as described below. In general, each agent 3 maintains a client state table that includes (at least initially) a separate entry for each client (host) 1 that it is capable of serving. Each entry includes the IP address of the client 1, the measured network proximity from the agent 3 to the client 1, and a bitmap mask. Thus, for purposes of describing the present invention, the client state table in an agent 3 may have the following format:

Primary IP Address	Proximity	Bitmap Mask
	-	

[0022] When a client 1 is initially discovered by an agent 3, the agent 3 adds an entry for that client 1 to the agent's client state table. This includes adding the IP address of the client 1 to the table and generating the bitmap mask for the client 1 in the manner described below.

[0023] The server 2 sends probe commands to the agents 3, which carry out the probes to determine their proximity to the clients 1 and then send responses back to the server 2. The responses from an agent 3 to the server 2 contain the proximity information and the bitmap masks. The agents 3 also add the proximity information to their tables.

Representation of compressed IP addresses

[0026] Table entries are merged if they are determined to be on equivalent subnets. In that event, the mask of the surviving merged entry is modified to indicate that the table entry now represents two or more clients. In the case of merged entries, the bitmap masks help to identify equivalence between table entries. For example, assume that a table entry has an IP address of 202.54.1.1 and a bit map mask of 0x800000000000000. This indicates that the table entry represents IP address 202.54.1.1 and IP address 202.54.1.63 and that these two IP addresses are equivalent.

[0027] Using this technique with a 64-bit mask, it is possible to compress up to 64 table entries into a single table entry. The compression is lossless, since all of the original information can be recovered. This technique also allows the flexibility of two entries with the same IP address prefix (highest-order 26 bits) to have different proximity information. Note that in other embodiments of the invention, masks with a different number of bits may be used.

### **Agent Processes**

[0028] The agents 3 detect subnets based on the proximity measurements and compress the table entries upon detecting the equivalence. In certain embodiments, equivalence is detected if two or more entries have the same subnet prefix (e.g., same highest-order 24 bits of their IP addresses) and their measured network proximities are within a predetermined range of each other. The agents 3 report the primary IP address, the compressed mask (e.g., a 64 bit bitmap) and the proximity to the server 2. [0029] Assume an agent 3 receives a probe request from the server 2 for IP addresses 202.54.1.18 and 202.54.1.30. The agent 3 may represent these in its table initially as:

Primary IP	Mask	Proximity
Address		(msec)
202.54.1.18	0x000000000040000	0
202.54.1.30	0x000000040000000	0

[0030] Since the agent 3 has initially never tried measuring the proximity of these clients, they are initially represented as having proximity of 0. The mask represents the host portion 8 bits of the IP address.

[0031] After the agent 3 does the proximity measurement, the table might appears as follows:

Primary IP	Mask	Proximity
address		
202.54.1.18	0x000000000040000	20
202.54.1.30	0x000000040000000	21

### Agent Merge

[0032] The agent 3 now detects that the IP addresses 202.54.1.18 and 202.54.1.30 are equivalent, since the measured proximities are within a close range of each other. The agent 3 thus assumes these are part of the same subnet and, therefore, merges these two entries. In a merge (compress) operation, the agent 3 generates the bitmap mask M of the merged table entry as the logical OR of the two starting bitmap masks A1 and A2, i.e.:

M = A1 OR A2

[0033] Therefore, in the current example, the agent 3 compresses the table to:

Primary IP	Mask	Proximity
address		
202.54.1.18	0x000000040040000	20

[0034] The agent 3 then informs the server 2 about the new mask and proximity, which indicate that 202.54.1.18 and 202.54.1.30 are equivalent, since they share the same entry with a different mask.

#### **Agent Split**

[0035] A split operation occurs when the agent 3 does a probe on a merged entry and finds a different proximity. A split operation denotes detecting inequivalence between a previous merge, possibly due to an incorrect deduction or due to a change in network conditions. Thus, the type of compression described herein can adapt to changing network conditions while enabling clients to be redirected to the best possible surrogate. [0036] As an example of a split operation with reference to the above example, the agent 3 might probe 202.54.1.30 after some time and find a new proximity of 8 ms. Since this is not equivalent, the agent 3 does a split operation on the previously-merged entry. In the agent's split operation, Old mask = Old mask AND NOT Changed mask. Hence, in the above example, the resulting table would appear as follows:

Primary IP	Mask	Proximity
address		
202.54.1.18	0x0000000000040000	20
202.54.1.30	0x000000040000000	. 8

[0037] After the split, the agent 3 creates a new table entry with 202.54.1.30 as the primary IP address and updates the proximity and the mask for both the old and new entry. Thus the agent 3 does a merge or a split when the proximity to a client 2 that it is

probing changes for a merged entry. The merge operation denotes detecting equivalence between IP addresses being on the same subnet. A split operation denotes detecting inequivalence between a previous merge.

Client state tables is represented in Figure 3. An agent 3 measures its proximity to each client 1 at block 301 (this may or may not be in direct response to a server command). At block 302 the agent 3 determines whether the difference in proximity between any two or more clients 1 that share the same IP address prefix is less than a predetermined amount of time (e.g., N msec). If so, then at block 303 the agent 3 merges those entries in the manner described above. Otherwise, the process proceeds to block 304. In block 304 the agent 3 determines whether the difference in proximity for any clients 1 that are already represented in a merged entry is greater than a predetermined amount of time (e.g., M msec, where M can equal N, but not necessarily). If so, then at block 305 the agent 3 splits out the entry for the client 1 which no longer conforms to the proximity of the merged entry into a separate entry, in the manner described above. Otherwise the process proceeds to block 306. At block 306 the agent 3 sends the IP addresses, masks and proximity information to the server 2. The process repeats.

#### Server Processes

[0039] For purposes of describing this invention, each table entry in the server 2 contains a primary IP address, a 64-bit master bitmap mask, and for each of the agents 3 (caches, or surrogates), the proximity of that agent 3 to the client 1 and its respective

bitmap mask. Thus, in a simple network with one server 2 and two agents 3 ("Agent A" and "Agent B"), the server table can be represented as:

Primary IP	Master IP	Agent A	Agent B
address	address	mask	mask
	mask		
	M1	A1	B1
	M2	A2	B2

[0040] The variables M1, M2, A1, A2, B1 and B2, in certain embodiments, are all 64 bit masks. The master IP address mask M for a table entry x is generated as the logical AND of the individual bitmap masks reported by each of the agents for that IP address after their proximity measurements, i.e., Mx = Ax AND Bx.

## Server Merge

[0041] Assume that the server 2 asked Agents A and B to probe 202.54.1.18 and 202.54.1.30, and these agents reported the following masks:

Master IP address	Agent A mask	Agent B mask
mask		
0x000000000040000	0x000000000040000	0x0000000000040000
0x000000040000000	0x000000040000000	0x0000000040000000

[0042] This indicates that Agents A and B have not detected that these clients are on equivalent subnets. After some time, assume Agent A detects equivalence and sends the following to the server 2:

Master IP address	Agent A mask	Agent B mask
mask		
0x000000000040000	0x000000040040000	0x0000000000040000
0x000000040000000	0x000000040040000	0x0000000040000000

[0043] Note that Mx = Ax AND Bx. The server 2 updates every entry in the new reported mask newAx, with the mask newAx.

[0044] After some time, Agent B detects equivalence and sends:

	Master IP address	Agent A mask	Agent B mask
mask			
	0x0000000000040000	0x000000040040000	0x0000000040040000
	0x0000000040000000	0x0000000040040000	0x0000000040040000

# [0045] The server 2 then computes the new master mask:

	Master IP address	Agent A mask	Agent B mask
mask			
	0x0000000040040000	0x000000040040000	0x0000000040040000
	0x0000000040040000	0x000000040040000	0x0000000040040000

[0046] Since M1x and M2x are equivalent, the server 2 decides to merge these table entries to:

	Master IP address	Agent A mask	Agent B mask
mask			
	0x0000000040040000	0x000000040040000	0x0000000040040000

[0047] This indicates that IP address 202.54.1.18 and 202.54.1.30 are equivalent and compressed into a single table entry on the server 2.

#### Server Split

[0048] The server 2 performs a split operation when any agent 3 informs a different mask that changes the master mask of the server 2. Assume that the server 2 has detected equivalence between 202.54.1.18 and 202.54.1.30 and stored the following masks in its table:

	Master IP address	Agent A mask	Agent B mask
mask			
	0x0000000040040000	0x0000000040040000	0x0000000040040000

[0049] Assume further that Agent A now detects inequivalence between 202.54.1.18 and 202.54.1.30 (based on proximity) and reports the new masks with new proximities 12 and 27 msec respectively. In that case, the server 2 splits the table as follows:

Master IP address	Agent A mask	Agent B mask
mask		
0x000000000040000	0x0000000000040000	0x0000000040040000
0x000000040000000	0x0000000040000000	0x0000000040040000

[0050] Thus, the overall process performed by the server with respect to maintaining client state tables is represented in Figure 4. At block 401 the server 2 receives from the agents various client IP addresses, masks and proximity information and adds this information to its client state table. At block 402 the server generates a master mask for each entry in the table from the individual masks provided by each agent for that entry. At block 403 the server determines if the table contains two or more entries for which the master mask is identical. If so, then the server merges those entries at block 404 in the manner described above. Otherwise, the process proceeds to block 405. In block 405, the server determines whether any previously-merged entries represent a client for which the master mask has changed. If so, the server splits out any such entries at block 406 in the manner described above. The process then repeats.

[0051] Thus, the server 2 merges and splits entries based on the masks reported by the agents 3. The server 2 merges entries when all agents 3 report the same subnet information for two or more clients 1 and splits when any one agent 3 reports different information. The agents 3 themselves merge or split entries based on their measured proximity to the clients 1.

[0052] The ability to detect subnets dynamically and to compress the client state tables based on this information allows scaling of a content delivery system by several orders of magnitude without compromising effectiveness / correctness.

[0053] Various modifications and extensions of the above-described are possible. For example, as noted above, a mask size of other than 64 bits can be used. In certain embodiments, the server 2 may not require all master masks to be identical in order to merge entries. In certain embodiments, all of the IP addresses and the masks can be stored separately. Consider a table having four agents 3 and having 64 IP addresses, the amount of storage per IP address would be 4 \* 4 = 16 bytes, assuming 4 bytes per entry. For 64 entries, we would have 64 \* 16 = 1024 bytes. Another approach would be to track only a single /26 mask and lose the other information (resulting in loss of accuracy). In certain embodiments, it may be desirable to confirm proximity measurements before performing a merge and/or to confirm changes in proximity measurements before performing a split.

[0054] The techniques described above can be implemented within the agents 3 and server 2 using software, special-purpose hardware, or a combination of software and special-purpose hardware. Figure 5 shows the architecture of a processing system that can represent the server 2 and/or any of the agents 3. Note that certain standard and well-known components which are not germane to the present invention are not necessarily shown. The processing system 50 includes one or more processors 51 and one or more memories 52, coupled together by a bus system 53. The bus system 53 in Figure 5 is an abstraction that can represents any one or more separate physical buses and/or point-to-point connections, connected by appropriate bridges, adapters and/or

controllers. The bus system 53, therefore, may include, for example, a system bus, a Peripheral Component Interconnect (PCI) bus, a HyperTransport or industry standard architecture (ISA) bus, a small computer system interface (SCSI) bus, a universal serial bus (USB), or an Institute of Electrical and Electronics Engineers (IEEE) standard 1394 bus (sometimes referred to as "Firewire").

[0055] The processor 51 is the central processing unit (CPU) of the processing system 50 and, thus, controls the overall operation of the processing system 50. In certain embodiments, the processor 51 accomplishes this by executing software stored in memory 52, which may include software and data embodying the techniques described above. The processor 51 may be, or may include, one or more programmable general-purpose or special-purpose microprocessors, digital signal processors (DSPs), programmable controllers, application specific integrated circuits (ASICs), programmable logic devices (PLDs), or the like, or a combination of such devices.

[0056] Memory 52 can represent any of various forms of random access memory (RAM), read-only memory (ROM), flash memory, etc., or a combination thereof.

Memory 52 stores, among other things, the operating system 54 of the processing system 50. The above described techniques and processes may be implemented within the operating system 54, although that is not necessarily the case. Hence, tables such as described above may also be stored in memory 52.

[0057] Also connected to the processor 51 through the bus system 53 are one or more mass storage devices 57, one or more network communication devices 58, and in some embodiments, one or more other input/output (I/O) devices 59. The network

communication device 58 provides the processing system 50 with the ability to communicate with remote processing devices over a network and may be, for example, an Ethernet adapter, a digital subscriber loop (DSL) adapter, or the like. The mass storage device 57 may be or include any of various forms of magnetic disk, optical disc (e.g., a CD-ROM, DVD, etc.), etc.

[0058] Thus, a method and apparatus for lossless compression of client information in a network device have been described. Although the present invention has been described with reference to specific exemplary embodiments, it will be recognized that the invention is not limited to the embodiments described, but can be practiced with modification and alteration within the spirit and scope of the appended claims.

Accordingly, the specification and drawings are to be regarded in an illustrative sense rather than a restrictive sense.